



PATENT  
Docket No. 203442107020

JP  
1801

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Assistant Commissioner for Patents, Washington, D.C. 20231, on September 12, 1997.

Mary B. Zimmerman

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 1800

In the application of:

VISHVA M. DIXIT and KAREN  
O'ROURKE

Serial No.: 08/443,982

Filing Date: May 18, 1995

For: METHODS AND COMPOSITIONS FOR  
REGULATING FAS-ASSOCIATED  
APOPTOSIS

Examiner: D. Romeo

Group Art Unit: 1801

# 1807.R

9/25/97

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☐ A fee is required. Applicant encloses a check for filing which includes the \$230 fee as required by 37 C.F.R. §§ 1.97(c)(2) and 1.17(p).

- ☒ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herewith.

I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 03-1952 (20344-21070.20)**.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: September 12, 1997

Respectfully submitted,

By: *Antoinette F. Konski*  
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